

**CEC No Review Required List — For Caltech Use Only**

For Assistance: Caltech Export Compliance Office (626) 395-2641 or email: [export@caltech.edu](mailto:export@caltech.edu)

**International Shipments — No Review Required List**

*(Revision date: 12/13/2017)*

The items on this list do not need review by the Caltech Export Compliance Office (CEC).

**EXCEPTIONS TO THIS LIST:** Items designed, adapted or modified for the military or spaceflight applications require a State Department License. This list does not apply to such items. Please contact the Caltech Export Compliance Office: (626) 395-2641 or email: [export@caltech.edu](mailto:export@caltech.edu).

*If your shipment is on behalf of JPL, please consult with the JPL Import/Export Control Office (I/ECO) at [export@jpl.nasa.gov](mailto:export@jpl.nasa.gov).*

*Personal shipments will not be reviewed by the Caltech Export Compliance Office.*

**Category List**

- |   |                                |
|---|--------------------------------|
| 1. Personal Shipments                         | 6. Lab Instruments & Equipment |
| 2. Plans and Animals                          | 7. Materials                   |
| 3. Chemistry                                  | 8. Media                       |
| 4. Computers, Printers & Associated Equipment | 9. Miscellaneous               |
| 5. Geology & Planetary Sciences               | 10. Printed Materials          |

	Name	Description	ECCN	No License Required	Notes and Conditions
<b>1. Personal Shipments</b>					
1	<i>Personal shipments</i>	Personal shipments will not be reviewed by Caltech Export Compliance.			Please contact your freight forwarder for export/import requirements.
<b>2. Plants and Animals</b>					
2	<i>Plants</i>	All types. See Notes and Conditions.	EAR99	NLR	<b>PRIOR TO EXPORT:</b> 1. Contact the Caltech Safety Office for packaging, labelling or other requirements, and advice; 2. Contact the appropriate government agency such as the U.S. Fish and Wildlife Service to determine if a permit, license, declaration or other documents are required; and 3. Check with the receiving party or Customs broker for any import permits or documentation that may be required in the country of destination.
3	<i>Seeds</i>	All types. See Notes and Conditions.	EAR99	NLR	
4	<i>Animals - Vertebrates (mammals, birds, fish, reptiles, amphibians); and Animals - Invertebrates (insects, molluscs, crustaceans, corals, arachnids, worms, crabs, etc.)</i>	All types. See Notes and Conditions.	EAR99	NLR	
<b>3. Chemistry</b>					
5	<i>Grubbs Catalysts</i>	1st & 2nd Generation Grubbs Catalysts - C43H72Cl2P2Ru (CAS Registry Number 172222-30-9) and C46H65Cl2N2PRu (CAS Registry Number 246047-72-3). All types. See Notes and Conditions.	EAR99	NLR	<b>PRIOR TO EXPORT:</b> 1. Contact the Caltech Safety Office for packaging, labelling or other requirements, and advice; 2. Check with the receiving party or Customs broker for any import permits or documentation that may be required in the country of destination.
6	<i>Salt (Sodium Chloride)</i>	See Notes and Conditions.	EAR99	NLR	

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<b>4. Computers, Printers and Associated Equipment</b>					
7	<i>Adobe Acrobat (Professional, Standard)</i>	Version 5; 5.05; 6; 7; 7.07; 8; 9; 10; 11. For additional Adobe products, see: <a href="http://www.adobe.com/support/eccnmatrix.html">http://www.adobe.com/support/eccnmatrix.html</a>	5D992	NLR*	*If export is to Cuba, Iran, North Korea, Sudan or Syria a license is required. Please contact CEC.
8	<i>Cables</i>	Cables -- <b>except for</b> items specially designed for the military or space applications	EAR99	NLR	
9	<i>Computer Audio Speakers</i>		EAR99	NLR	
10	<i>Computer Keyboards</i>		EAR99	NLR	
11	<i>Computer Peripherals and Accessories</i>		EAR99	NLR	
12	<i>Computer Printers</i>		EAR99	NLR	
13	<i>Cords</i>		EAR99	NLR	
14	<i>Ink cartridges</i>		EAR99	NLR	
15	<i>iPad (All)</i>	For additional Apple products, see: <a href="http://www.apple.com/legal/export.html">http://www.apple.com/legal/export.html</a>	5A992.c	NLR*	*If export is to Cuba, Iran, North Korea, Sudan or Syria a license is required. Please contact CEC.
16	<i>Laptop battery</i>		EAR99	NLR	
17	<i>Laptop Case</i>		EAR99	NLR	
18	<i>Laptop (Clean), Peripherals and Accessories</i>		5A992.c	NLR*	*If export is to Cuba, Iran, North Korea, Sudan or Syria a license is required. Please contact CEC.
19	<i>Microsoft Office (All versions)</i>	For additional Microsoft products, see: <a href="http://www.microsoft.com/en-us/exporting/eccn.aspx">http://www.microsoft.com/en-us/exporting/eccn.aspx</a>	5D992.c	NLR* (CCAT: G078310)	*If export is to Cuba, Iran, North Korea, Sudan or Syria a license is required. Please contact CEC.
20	<i>Computer Monitor</i>		EAR99	NLR	
21	<i>Windows XP</i>	Home Edition or Professional. For additional Microsoft products, see: <a href="http://www.microsoft.com/en-us/exporting/eccn.aspx">http://www.microsoft.com/en-us/exporting/eccn.aspx</a>	5D992.c	NLR* (CCAT: G026821)	*If export is to Cuba, Iran, North Korea, Sudan or Syria a license is required. Please contact CEC.
<b>5. Geology and Planetary Sciences</b>					
22	<i>Mica</i>		EAR99	NLR	An import permit may be required. Check with the receiving party, appropriate government agency or customs broker for guidance.
23	<i>Minerals - Unprocessed and not radioactive</i>	Unprocessed minerals and not radioactive.	EAR99	NLR	An import permit may be required. Check with the receiving party, appropriate government agency or customs broker for guidance.
24	<i>Rocks</i>	All kinds and types	EAR99	NLR	An import permit may be required. Check with the receiving party, appropriate government agency or customs broker for guidance.
25	<i>Shells</i>	All kinds and types	EAR99	NLR	An import permit may be required. Check with the receiving party, appropriate government agency or customs broker for guidance.
26	<i>Soil</i>	All kinds and types	EAR99	NLR	An import permit may be required. Check with the receiving party, appropriate government agency or customs broker for guidance.

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27	<i>Zeolites</i>		EAR99	NLR	An import permit may be required. Check with the receiving party, appropriate government agency or customs broker for guidance.
<b>6. Lab Instruments and Equipment</b>					
28	<i>Animal Cages</i>		EAR99	NLR	
29	<i>Balls</i>	Packing material	EAR99	NLR	
30	<i>Brazing Rods</i>		EAR99	NLR	
31	<i>Carry Cases</i>		EAR99	NLR	
32	<i>Clamps</i>		EAR99	NLR	
33	<i>Electrical tapes</i>		EAR99	NLR	
34	<i>Equipment racks</i>		EAR99	NLR	
35	<i>Flashlights</i>		EAR99	NLR	
36	<i>Gels</i>		EAR99	NLR	
37	<i>Glassware</i>		EAR99	NLR	
38	<i>Glue</i>		EAR99	NLR	
39	<i>Hand tools</i>		EAR99	NLR	
40	<i>Heat Lamps</i>		EAR99	NLR	
41	<i>Lab bottles (empty)</i>		EAR99	NLR	
42	<i>Light Bulbs</i>		EAR99	NLR	
43	<i>Micromanipulators</i>		EAR99	NLR	
44	<i>Microscopes</i>		EAR99	NLR	
45	<i>Microscope slides - blank (empty)</i>		EAR99	NLR	
46	<i>Neon Lamps</i>		EAR99	NLR	
47	<i>Oxygen</i>	Oxygen canisters (Check with Caltech Safety Office)	EAR99	NLR	Check with Caltech Safety Office.
48	<i>Power cords</i>		EAR99	NLR	
49	<i>Power supplies</i>	Power supplies that are <b>not</b> high-power or high voltage direct current power supplies.	EAR99	NLR	
50	<i>Pulleys</i>		EAR99	NLR	
51	<i>Racks</i>		EAR99	NLR	
52	<i>Scales</i>		EAR99	NLR	
53	<i>Screws</i>		EAR99	NLR	
54	<i>Spatulas</i>		EAR99	NLR	
55	<i>Syringes</i>		EAR99	NLR	
56	<i>Thermometers</i>	Thermometers <b>not</b> specifically designed, adapted or modified for space or military use	EAR99	NLR	
57	<i>Timers</i>		EAR99	NLR	
<b>7. Materials</b>					
58	<i>Filter - Absorptive Natural Density Filter</i>		EAR99	NLR	
59	<i>Gold ribbon</i>		EAR99	NLR	

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60	Rope		EAR99	NLR	
61	Velcro		EAR99	NLR	
<b>8. Media</b>					
62	CDs (Blank)	CDs with publicly available information or containing no data	EAR99	NLR	
63	DVDs (Blank)	DVDs with publicly available information or containing no data	EAR99	NLR	
64	Hard Drives	Hard Drives with publicly available information or containing no data	EAR99	NLR	
65	Memory Devices	Memory devices with publicly available information or containing no data	EAR99	NLR	
66	Memory Sticks	Memory sticks with publicly available information or containing no data	EAR99	NLR	
67	Zip Drives		EAR99	NLR	
<b>9. Miscellaneous</b>					
68	Alarm Clocks		EAR99	NLR	
69	Aprons		EAR99	NLR	
70	Badge Holders		EAR99	NLR	
71	Calculators		EAR99	NLR	
72	Caltech souvenirs and/or paraphernalia	i.e. Mugs, hats, t-shirts with Caltech logo	EAR99	NLR	
73	Cardboard Boxes	Empty cardboard boxes	EAR99	NLR	
74	Clothing		EAR99	NLR	
75	Dishes		EAR99	NLR	
76	Flatware		EAR99	NLR	
77	Food		EAR99	NLR	
78	Furniture		EAR99	NLR	
79	Household Goods		EAR99	NLR	
80	iPhones (all)	For additional Apple products, see: <a href="http://www.apple.com/legal/export.html">http://www.apple.com/legal/export.html</a>	5A992.c	NLR*	*If export is to Cuba, Iran, North Korea, Sudan or Syria a license is required. Please contact CEC.
81	iPods	Classic, nano, shuffle and parts and accessories. For additional Apple products, see: <a href="http://www.apple.com/legal/export.html">http://www.apple.com/legal/export.html</a>	EAR99	NLR	
82	iPods	Touch. For additional Apple products, see: <a href="http://www.apple.com/legal/export.html">http://www.apple.com/legal/export.html</a>	5A992.c	NLR* (CCAT: G081158)	*If export is to Cuba, Iran, North Korea, Sudan or Syria a license is required. Please contact CEC.
83	Microphones		EAR99	NLR	
84	Movie DVDs		EAR99	NLR	
85	MP3 Players		EAR99	NLR	
86	Music CDs		EAR99	NLR	
87	Office Supplies	e.g., paper clips, paper goods, blank CDs	EAR99	NLR	
88	Paintings		EAR99	NLR	
89	Phones		EAR99	NLR	
90	Picture Frames		EAR99	NLR	
91	Speakers		EAR99	NLR	
92	Televisions		EAR99	NLR	
93	Toys		EAR99	NLR	

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<b>10. Printed Materials</b>					
94	<i>Books</i>		EAR99	NLR	
95	<i>Journals</i>		EAR99	NLR	
96	<i>Magazines</i>		EAR99	NLR	
97	<i>Posters</i>	Posters with publicly available information or research results.	EAR99	NLR	