

Mayo, David J.

From: Seligman, Richard P.
Sent: Monday, September 19, 2016 3:28 PM
To: Research Administrators
Subject: FW: Principal Investigator Effort on Proposals

Dear Research Administrators,

I am copying you on an email that I sent to the Division Administrators earlier this afternoon. The email provides some further clarification on the recently revised policy on Commitment of Effort on Federally Sponsored Projects.

If you have any questions about this policy, please contact me.

Thanks,

Dick Seligman

From: Seligman, Richard P.
Sent: Monday, September 19, 2016 1:20 PM
To: Boladian, Aleen A. <aleen@gps.caltech.edu>; Callihan, Elizabeth R. <callihan@caltech.edu>; Epalle, Marianne L. <marianne@caltech.edu>; Ibrahim, Jennifer L. <jillafkas@caltech.edu>; Miranda, Michael <mirandam@its.caltech.edu>; Younger, Candace A. <Candace.Younger@Caltech.edu>
Cc: Mayo, David J. <David.Mayo@caltech.edu>; Athey, Rochelle <rathey@caltech.edu>; Patterson, Sharon E. <Sharon.Patterson@caltech.edu>; Steurbaut, Margo <Margo.Steurbaut@caltech.edu>
Subject: Principal Investigator Effort on Proposals

Dear Division Administrators,

At the IAC Retreat this weekend, we discussed the recent revision in Caltech's policy on Commitment of Effort on Federally Sponsored Projects. Copies of the revised policy were distributed to you and the grant managers last week. The focus of the discussion by the IAC was to clarify the intent of deleting the requirement for "a minimum of 1% commitment of effort" on research proposals and replacing it with "a commitment of the effort necessary to carry out the project." Ed Stolper underscored the view that a commitment of less than 1% on a research grant proposal would be unacceptable. The Division Chairs all seemed to be in agreement with this position. As part of the proposal review process, Division Chairs will be looking at the effort commitments being made by PIs. Further, the Office of Sponsored Research is being directed not to submit any proposal with a PI commitment of less than 1%. If any such proposals are received by OSR, they will be returned to the division for further review by the Chair.

I hope that this clarification will reduce some of the confusion associated with the policy revision. However, if you or your staff have any questions, please direct them to me. In the interest of broadening the dissemination of this information, I will send it to the entire research administration mailing list.

Best wishes,

Dick

